

Memorandum



DATE: May 14, 2015

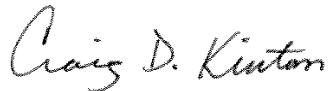
TO: Honorable Members of the Budget, Finance & Audit Committee –
Jerry R. Allen (Chair); Jennifer Staubach Gates (Vice Chair);
Tennell Atkins, Mayor Pro Tem; Sheffie Kadane; Philip T. Kingston

SUBJECT: Presentation to the Budget, Finance & Audit Committee:
Office of the City Auditor Fiscal Year 2015 – Third Quarter Update

I will provide a briefing to the members of the Budget, Finance & Audit Committee on Monday, May 18, 2015 regarding:

- Office of the City Auditor Fiscal Year 2015 – Third Quarter Update

Sincerely,



Craig D. Kinton
City Auditor

Budget, Finance and Audit Committee

Office of the City Auditor Fiscal Year 2015 – Third Quarter Update



City of Dallas
Office of the City Auditor
May 18, 2015





Audit and Attestation Services Reports Issued

through May 15, 2015

■ Performance Audits

- ❑ Controls over Department of Convention and Event Services' Cash Receipts and Collections
- ❑ South Dallas Fair Park Trust Fund
- ❑ MuniServices, LLC Contract (Sales/Use Tax Receipts and Associated Fees)
- ❑ Payroll Processes related to Retirement Programs
- ❑ Parking Management Contract Oversight
- ❑ Building Permits Cash Collections Internal Controls
- ❑ Controls over Weapons and Other High Risk Inventory for DPD's Quartermaster Unit



Audit and Attestation Services Reports Issued

through May 15, 2015

- Independent Auditor's Reports on Applying Agreed-Upon Procedures
 - Single Bid, \$10,748,598 –
 - Commercial Records Storage, Retrieval and Reference Services, \$1.4M
 - Barricading Services, \$118K
 - Library Audio Visual Materials, \$8.4M
 - Cedar Crest Golf Course Improvements, \$845K
 - Sole Source, \$2,042,552 –
 - Environmental Systems Research Institute Software Maintenance and Support, \$1.5M
 - FuelFocus M5 Application Service Provider and Software Maintenance and Support, \$519K



Investigative Services Reports Issued

through May 15, 2015

- Theft of Time – Department of Street Services (two separate investigations and reports)
- Theft by Employee – Department of Code Compliance



Audit and Attestation Services Anticipated Report Releases

Third Quarter, Fiscal Year 2015

- Payroll Audit
- Paving and Maintenance Program / Capital Program
Streets and Thoroughfares
- Water Customer Billings



Audit and Attestation Services Projects In-Progress

Third Quarter, Fiscal Year 2015

- Contract Monitoring – Public Works and Transportation
- Courts Information System – Access Controls
- Customer Service / 311 Non-Emergency Services
- Fleet Maintenance
- Maintenance of Infrastructure – Department of Dallas Water Utilities
- Performance Measurement Process
- Police Personnel and Training Services
- Purchasing / Contracting Processes



Audit and Attestation Services

Projects In-Progress *(continued)*

Third Quarter, Fiscal Year 2015

- Building Permits – Customer Service / Satisfaction
- Courts Information System – Cash Management / Collections Processes
- Neighborhood Code Enforcement Services
- Contract Monitoring – Housing
- Franchise Fees Review through MuniServices *(Ongoing)*
- Sales/Use Tax Compliance Review through MuniServices *(Ongoing)*



Audit and Attestation Services

Anticipated Project Starts

Third Quarter, Fiscal Year 2015

- Special Audit – Departing City Officials
- Trinity Watershed Management
- Contract Monitoring – Dallas Convention and Visitors Bureau
- Prior Audit Recommendations Follow-Up
- Park and Recreation Internal Controls
- Agreed-Upon Procedures for Single Bid and Sole Source Engagements (as requested)

QUESTIONS?



**City of Dallas
Office of the City Auditor
May 18, 2015**

